



2123 #5  
BT  
11-18-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: GURUPRASAD G. RAO ET AL.

Filed: JULY 13, 2001

For: APPARATUS AND METHODS FOR CONSTRAINT  
CHARACTERIZATION WITH DEGRADATION OPTIONS

Serial No.: 09/904,630

Group Art Unit: 2123

Examiner: UNKNOWN

Atty Dkt: SIME:002

RECEIVED

NOV 13 2002

Technology Center 2100

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

11-1-02 Marty Bourn  
Date Name

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, it is respectfully requested that this Information Disclosure Statement be entered and the document(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

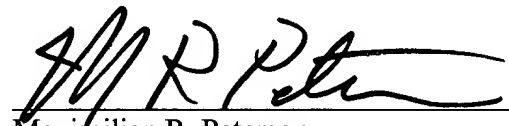
The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in

accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 10-1205/SIME:002.

A copy of the listed document(s) required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

Applicant respectfully requests that the listed document(s) be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'M R Peterson', written over a horizontal line.

Maximilian R. Peterson  
Reg. No. 46,469  
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, LLP  
1101 Capital of Texas Highway South  
Building C, Suite 200  
Austin, Texas 78746  
(512) 347-1611  
FAX: (512) 347-1615

Enclosures

**Form PTO-1449** (modified)Atty. Docket No.  
SIME:002Serial No.  
09/904,630

List of Patents and Publications for Applicant's

Applicant  
GURUPRASAD RAO ET AL.**RECEIVED****INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

Filing Date:  
JULY 13, 2001Group:  
2123**NOV 13 2002****Technology Center 2100**U.S. Patent Documents  
See PageForeign Patent Documents  
See PageOther Art  
See Page 1**U.S. Patent Documents**

| Exam. Init. | Ref. Des. | Document Number | Date   | Name           | Class | Sub Class | Filing Date if App. |
|-------------|-----------|-----------------|--------|----------------|-------|-----------|---------------------|
|             | A1        | 5,790,415       | 8/4/98 | Pullela et al. |       |           | 4/10/96             |

**Foreign Patent Documents**

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|------|---------|-------|-----------|--------------------|
|             | B1        |                 |      |         |       |           |                    |

**Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)**

| Exam. Init. | Ref. Des. | Citation   |
|-------------|-----------|--|
|             | C9        | Copending U.S. Patent Application Serial No. 09/904,463, filed July 13, 2001, "Apparatus For Optimized Constraint Characterization With Degradation Options And Associated Methods (Atty. Docket No. Sime:008) |

**Examiner:****Date Considered:**

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.